

ESTIMATION OF EVAPOTRANSPIRATION BASED ON SURFACE ENERGY BALANCE ALGORITHM FOR LAND (SEBAL) USING LANDSAT 8 AND MODIS IMAGES

NOURI, H.^{1*} – FARAMARZI, M.² – SOBHANI, B.³ – SADEGHI, S. H.²

¹*Department of Watershed Management and Research Institute of Grape and Raisin, University of Malayer, Hamadan, Iran*

²*Research Institute of Grape and Raisin, University of Malayer, Hamadan, Iran*

³*Department of Geography, University of Mohaghegh Ardabili, Ardabil, Iran*

**Corresponding author
e-mail: Hamidwatershed@yahoo.com*

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Abstract. The aim of this study was to determine the accuracy estimation of actual evapotranspiration by using Surface Energy Balance Algorithm for Land (SEBAL) in comparison with FAO-Penman-Monteith method in Landsat and MODIS images in Malayer County of Iran. In this study, Landsat 8 and MODIS images of 2013 were used. Result showed that there are not many differences between SEBAL and FAO-Penman-Monteith methods in estimation of evapotranspiration. According to the aim of the study, result showed that MODIS sensor with high accuracy have calculated evapotranspiration more accurately than Landsat 8 by RMSE = 1.004 and MBE = 0.0033 respectively in surface temperature estimation which has great effect on estimation of the net radiation flux, soil heat flux and the sensible heat flux. On the other hand, according to low spatial resolution of MODIS sensor, we can conclude that this sensor has less value for zoning of evapotranspiration than Landsat 8 image according to the area topography and heterogeneous land uses.

Keywords: *evapotranspiration, remote sensing, SEBAL, Malayer city, MODIS, Landsat 8*

Introduction

Evapotranspiration (ET) is an important component of water balance in arid and semi-arid areas and accurate estimation of ET is significantly important for optimum management of water resources (Sepaskhah, 1982). Hasheminia (1999) reported, 70 % of precipitation returns to the atmosphere through ET. He also cited in arid areas it is about 90 %. According to his output, water shortage problem has been widespread in many parts of the world which has brought about conflict over water in the near future. It seems that limitation of water resources and uneconomical uses of water are the main factors that limit the development of agriculture and increase food production in Iran (Akbari, 2004). On the other hand, ET plays significant role in the world's climate through hydrologic cycle. ET estimation has an important utilization on runoff prediction, product performance prediction, land use design, design of irrigation channels, water distribution constructions and it is also effective on natural disasters like drought (Ogawa et al., 1999; Bastiaanssen, 2000; Norman et al., 1995). Many methods have been developed for calculating ET in different geographical and climatic conditions by using meteorological data. These methods often use point (data) measurement for ET estimation, so they are appropriate for local area and they are not expendable to large basins because of dynamic nature and regional changes of

ET (Li and Lyons, 1999; 2002). Development of remote sensing technology provides possibility of actual ET and potential ET estimation in a wide scale. In many researches satellite images were used to estimate actual ET and temporal and spatial distribution. Remote sensing is able to estimate ET also it can examine spatial distribution, because it can extract parameters such as surface temperature, albedo coefficient and vegetation index which is compatible with the environment and it is economically affordable (Norman et al., 1995). This distribution is important for management at the macro level. In the irrigation conference which was held by Food and Agriculture Organization (FAO) and International Commission on Irrigation and Drainage (ICID) and World Meteorological Organization (WMO) in cooperation in 1990 for investigating FAO methods and presenting an accurate method, FAO-Penman-Monteith method proposed as a standard method for ET estimation (Allen et al., 1998). ICID and FAO proposed FAO-Penman-Monteith method as a standard method for ET calculation via climate data (Hargreaves, 1994). Heretofore, the multiple algorithms have been provided to estimate ET using remote sensing data. Thereby, the methods based on energy balance are divided into single-source and two-source models. Single-source models consider soil and plant as one source named “Big-Leaf” and they only use an aerodynamic resistance in water-heat transition process (Nishida et al., 2003). In these models, it is assumed that the entire affected surface receives the same temperature and humidity. Unlike single-source models, two-source models by separation of soil and plants in all modeling process use several distinct aerodynamic resistances for soil and plant (Huntingford et al., 2000). Among the popular models in single-source base, we can mention Surface Energy Balance for Land (SEBAL), Mapping Evapotranspiration at High Resolution with Internalized Calibration (METRIC) and Surface Energy Balance System (SEBS) (Bastiaanssen et al., 1998; Su, 2002; Allen et al., 2007; Ogawa et al., 1999). The models such as TSEB and STSEB are two-source models (Norman et al., 1995; Sánchez et al., 2008).

Review of literature

The SEBAL algorithm was used with different sensor images in multiple parts of the world and it provided acceptable results. The old SEBAL model with METRIC in Idaho and their output results were compared which had a good agreement with lysimeter data (Tasumi et al., 2005). In another study daily ET amounts with lysimeter data by using Landsat images and METRIC model were compared and error less than 28 % was reported (Chavez et al., 2007). Estimation of ET by using Landsat images and energy balance METRIC model in Brazil had highlighted the model performance of water consumption estimation and improved water management in semi-arid and irrigated area in northeast of Brazil (Folhes et al., 2009). Evapotranspiration by using SEBAL algorithm and water balance model was calculated and results were compared, correlation by 70 % was reported (Mutiga et al., 2010). Useful results were reported about using Landsat images and SEBAL algorithm for actual ET estimation in multiple land uses of Nansi Lake basin, China (Sun et al., 2011). Surface temperature, net radiation, soil heat flux and hourly ET amounts were calculated by using SEBAL algorithm and 16 TM images were used in Texas plain. Output results were compared with measured values of 4 lysimeters which in cotton planted in two modes: irrigated and under water stress. Results

showed high accuracy of output results (Colaizzi et al., 2011). Different methods of ET estimation based on remote sensing data were investigated and results indicated that remote sensing methods have average accuracy for ET estimation (Raghuveer et al., 2011). Variable ET on the agriculture land, water body, forests and grasslands, are justifiable with different amount of ET (Yuting et al., 2012). Measured ET and other SEBAL components with measured field data through 4 accurate weighty lysimeters in two areas wet and dry farming were compared and acceptable results by using SEBAL were emphasized (Paul et al., 2013). Actual ET of pistachio in Ardakan, Yazd, by using 12 MODIS images and SEBAL algorithm were calculated. Results showed that average actual ET of pistachio is 1123 mm in desired year during a complete grow season which is much less than the amount of water consumption (Dasturani et al., 2012). Estimation and comparison of ET by using SEBAL algorithm, MODIS and Landsat 7 images were done and it was concluded that TM sensor image accuracy was about two and a half times more than MODIS (Simaie et al., 2013).

Although a huge number of studies have used different algorithms including SEBAL and multiple satellite images such as MODIS and Landsat. Over two decades studies were done about this issue, it is necessary to study different climate and weather for an accurate evaluation of ET based on these models and satellite images. Whereas, these models are experimental and they use a set of methods and experimental equations, they have to be calibrated for regional conditions. In the present study, actual ET have been estimated in Malayer city which has heterogeneous land uses such as range, residential, agricultural land (wet and dry), water bodies and so on. It should be mentioned that there is no record of any study using MODIS, Landsat or even other remote sensing images such as SEBAL or other models about ET estimation in the study area. However, most previous studies considered ET estimation in agricultural areas, either in an area with different land uses or new Landsat 8 satellite image, but the present study with an emphasis on performance of SEBAL, MODIS and Landsat 8 sensors have been investigated in a heterogeneous area.

Material and methods

Malayer city in Iran has an area of 3208 km² which includes 16.9 % of Hamadan province (*Fig. 1*). Elevation changes between 1617 and 3345 m (*Fig. 1*, digital elevation model is shown). The average amount of annual precipitation ranges is between 250 and 327 mm based on elevation gradient and extracted precipitation from whole city stations and neighborhood stations (Nahavand, Toiserkan, Hamadan Airport, Nouzhe, Arak, Kangavar and Borojerd) in a 17 years period (1995 to 2012). The amount of 24 hourly potential ET has been recorded by 7.58 mm averagely in Malayer station in May. Lysimeter data is usually used in different remote sensing studies for ET estimation. According to lack of lysimeter station in Malayer, synoptic station which records temperature, precipitation and evaporation are used as observed station to control output results (*Fig. 1*). Hourly data of 13 May 2012 at the same time with time recording of MODIS and Landsat 8 images were used by FAO-Penman-Montieth. Since the amount of precipitation and agriculture lands have been affected by water scarcity, thereby water consumption can be managed through ET estimation to reach a high efficiency.

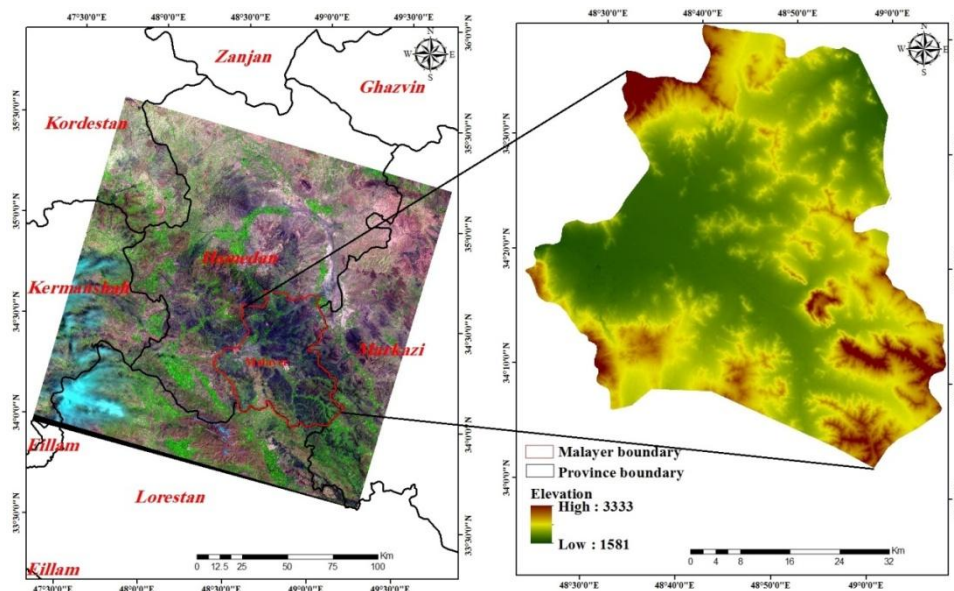


Figure 1. Geographical location of study area in Hamadan province

Image selection, preparation and preprocessing

One of the suitable images that are used around the world is free MODIS image. New free Landsat 8 images (OLI sensor) are one of the new feature in this case. Another point that should be mentioned is calibration of different images especially cheap images to local condition. Although MODIS image had been used in around of the world and also Iran, but these images and different algorithm including SEBAL are in the stage of development. Furthermore, significant research does not fulfillment in the field of use or calibration of different images including MODIS and Landsat 8 (OLI) images in Hamadan province which has distinct vegetation and climatic condition. In the present study images which have been selected are MODIS and Landsat 8 images for comparing factors including temporal condition (spring), desirable quality and lack of cloud cover spots. Although, the selected images were Level-II and they do not need geometric correction, but they were controlled with 10 ground control point (GCP) of GPS before using. Images did not need atmospheric correction because there was no atmospheric turbulence and they were one-temporal interpretation (Song et al., 2001). Table 1 presents used images properties.

Table 1. Properties of selected OLI image (Official Landsat 8 Site, 2013) and MODIS image

MODIS	Landsat OLI	Image
05/14/2013	05/14/2013	Data catch
64-95	125.471-128.2050	Sun azimuth angle
36 (9 reflective, 17 thermal)	11 (9 reflective, 2 thermal)	Number band
250, 500 & 1000	15 & 30	Spatial resolution

Surface energy balance on land (SEBAL) algorithm

Theoretical basics of ET calculation using SEBAL method are provided in different references detailed. In this model, actual ET is calculated by using satellite images

based on balance energy equation. Selected satellite images can only provide information about past time, so mentioned models are allowed possibility of estimating instantaneous latent heat flux in time imagery (Allen et al., 2007). Latent heat flux was calculated by using *Equation 1* for each pixel of image.

$$\lambda ET = R_n - H - G \quad (\text{Eq. 1})$$

where λET is latent heat flux (W/m^2), R_n is net radiation flux on land surface (W/m^2), G is soil heat flux (W/m^2) and H is sensible heat flux (W/m^2). SEBAL method was presented first time in order to estimate ET in agricultural and plain areas. But it is corrected in new version (Allen et al., 2007) which is presented for application in rugged and mountainous areas. In the present study new version of SEBAL were used because of Malayer condition which has heterogeneous land use. Accuracy of latent heat flux estimation depends on calculation process and accuracy of H , G and R_n estimation. The value of net radiation that is the first calculation to solve surface energy balance equation was calculated using *Equation 2* and incoming and outgoing radiation fluxes (Allen et al., 2007).

$$R_n = (1 - \alpha)R_{s\downarrow} + R_{L\downarrow} - R_{L\uparrow} - (1 - \varepsilon_0)R_{L\downarrow} \quad (\text{Eq. 2})$$

where $R_{s\downarrow}$ is incoming short wave radiation (W/m^2), $R_{L\downarrow}$ is incoming long wave radiation (W/m^2), $R_{L\uparrow}$ is outgoing long wave radiation (W/m^2), α is surface albedo and ε_0 is surface radiation power of 31th and 32th MODIS bands and 10th and 11th Landsat 8 bands. Soil heat flux (G) is the amount of heat transfer in soil and vegetation via molecular conduction. Since it is difficult to calculate the amount of soil heat flux using satellite image directly, so first G/R_n ratio calculated in middle of the day using experimental equation (Bastiaanssen et al., 1998) (*Eq. 3*).

$$\frac{G}{R_n} = \frac{T_s}{\alpha} (0.0038\alpha + 0.0074\alpha^2)(1 - 0.98NDVI^4) \quad (\text{Eq. 3})$$

where T_s is surface temperature ($^{\circ}\text{C}$) and α is surface albedo. The G value was obtained by multiplying the above ratio in R_n . If NDVI value is less than 0, then the area considered as water and G/R_n ratio considered equal to 0.5. The area having T_s less than 4°C and α more than 0.45 considered as snowy area and G/R_n ratio was applied equal to 0.5. Sensible heat flux (H) (the loss of heat to the air via molecular convection and conduction) is because of temperature difference which calculated using *Equation 4* (Allen et al., 2007).

$$H = \frac{\rho \cdot C_p \cdot dT}{r_{ah}} \quad (\text{Eq. 4})$$

where ρ is the air density (kg/m^3), C_p is the specific heat of air (1004 J/Kg/K), dT is temperature difference ($^{\circ}\text{K}$) between two elevation ($Z_1 - Z_2$) and r_{ah} is aerodynamic resistance against heat transmission (s/m). Sensible heat flux is a function of the temperature gradient, surface roughness and wind speed. Solving the above equation is difficult because of existence of two unknown parameters including r_{ah} and dT and therefore we used two cold and hot pixels of the study area (which reliable values are predictable for H and so present estimation of dT) and wind speed in the certain height.

Regarding that r_{ah} was a function of sensible heat flux, *Equation 4* did not have explicit solution and it was solved based on cyclic method. In order to correct atmospheric stability Monin-Obukhov length was used. The cold pixel selected from an area covered with complete vegetation and irrigated completely based on mentioned instructions which it is assumed surface temperature equal to near surface temperature. As the same way, the hot pixel selected from an arid area without any vegetation which it is assumed ET is 0. In selection of these pixels, some factors such as surface temperature, albedo and vegetation indices were used. That the cold pixel had low temperature, albedo of about 0.22 to 0.24 in accordance with alfalfa land and high NDVI, while hot pixel had high temperature, high albedo similar to other dry lands and without vegetation and low NDVI. In selection of hot/cold pixels, it considered to avoid very low or very high temperature selection. After correction of the sensible heat flux amount based on atmospheric condition, the instantaneous amount of latent heat flux calculated for each pixel of both images using *Equation 1*. Since the outcome amounts of net radiation flux (R_n), sensible heat flux (H) and soil heat flux (G) were instantaneous amounts and for satellite pass time, the amount of latent heat flux (λET) calculated instantaneous too. Therefore, ET amount was obtained from numerical calculation of λ and by dividing the number of per-pixel. The amount of ET was obtained using instantaneous latent heat flux and *Equation 5*.

Latent heat flux and instantaneous ET

Latent heat flux is the amount of heat loss from surface due to ET process which was obtained using *Equation 3*. Since the amounts of net radiation flux (R_n), sensible heat flux (H) and soil heat flux (G) are instantaneous amounts then the amount of latent heat flux (λET) is momentary too. λET is the amount obtaining from satellite image. Therefore, we should calculate λ numerical amount so the amount of ET is obtained by dividing the number of per-pixel.

The amount of ET is obtained using instantaneous latent heat flux as:

$$ET_{inst} = 3600 \frac{\lambda ET}{\lambda} \quad (\text{Eq. 5})$$

where ET_{inst} is the amount of instantaneous ET (mm/hr), λ is evaporation latent heat (J/Kg) and 3600 number convert the time from seconds to hours. The amount of λ is obtained using *Equation 6*.

$$\lambda = [2.501 - 0.00236(T_s - 273.15)] \times 10^6 \quad (\text{Eq. 6})$$

Ratio of reference ET

Ratio of reference ET is defined as ratio of instantaneous ET (ET_{inst}) of each pixel (mm/hr) to reference ET (ET_r) obtained from meteorological data for image time (mm/hr) (*Eq. 7*).

$$ET_r F = \frac{ET_{inst}}{ET_r} \quad (\text{Eq. 7})$$

$ET_r F$ is similar to vegetation coefficient (K_c) and used to extrapolate of ET from image time to 24 h period or much longer. The amount of $ET_r F$ usually ranges between

0 and 1, so in a completely dry $ET = 0$ and $ET_rF = 0$, but in case of the cold pixel in an alfalfa or corn, ET is a few more than ET_r and so $ET_rF > 1$ (1.1 likely). The negative amount for ET_rF is because of systematic errors which enter to SEBAL via various assumptions.

Various equations are developed to calculate ET_r , out of which, Penman-Montieth equation is proposed by FAO to most countries, such as Iran, having arid and semiarid climate. Penman-Montieth method has various versions; many of the researchers use FAO-Penman-Montieth version as one of the most reliable method for ET estimation (Alizade, 2002). Therefore, FAO-Penman-Montieth version 56 was used in REF-ET software for ET_r calculation.

24 hourly ET

The daily amount of ET (ET_{24}) usually has more application than the instantaneous amount. SEBAL calculate ET_{24} amount assuming instantaneous ET_rF is similar to average ET_rF during 24 h. The amount of ET_rF (mm/day) is calculated as (Eq. 8; Allen et al., 2007):

$$ET_{24} = ET_rF \times ET_{r_{24}} \quad (\text{Eq. 8})$$

where $ET_{r_{24}}$ is sum of ET_r during 24 h for the same day image recorded which is obtained by adding up the values of the hourly ET_r together on the satellite pass day.

Accuracy assessment

Hourly and 24 h values of ET_r obtained via FAO-Penman-Montieth method using hourly data of Malayer meteorological station was used to validate SEBAL model. Root Means Square Error and Mean Bias Error were used for statistical assessment of the models accuracy. The value of RMSE presents the amount of model error (Dashtaki et al., 2010). MBE index shows trend to overestimation or underestimation. Mathematical descriptions of these statistics are as follows (Eqs. 9 and 10):

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (Y_{est,i} - Y_{obs,i})^2} \quad (\text{Eq. 9})$$

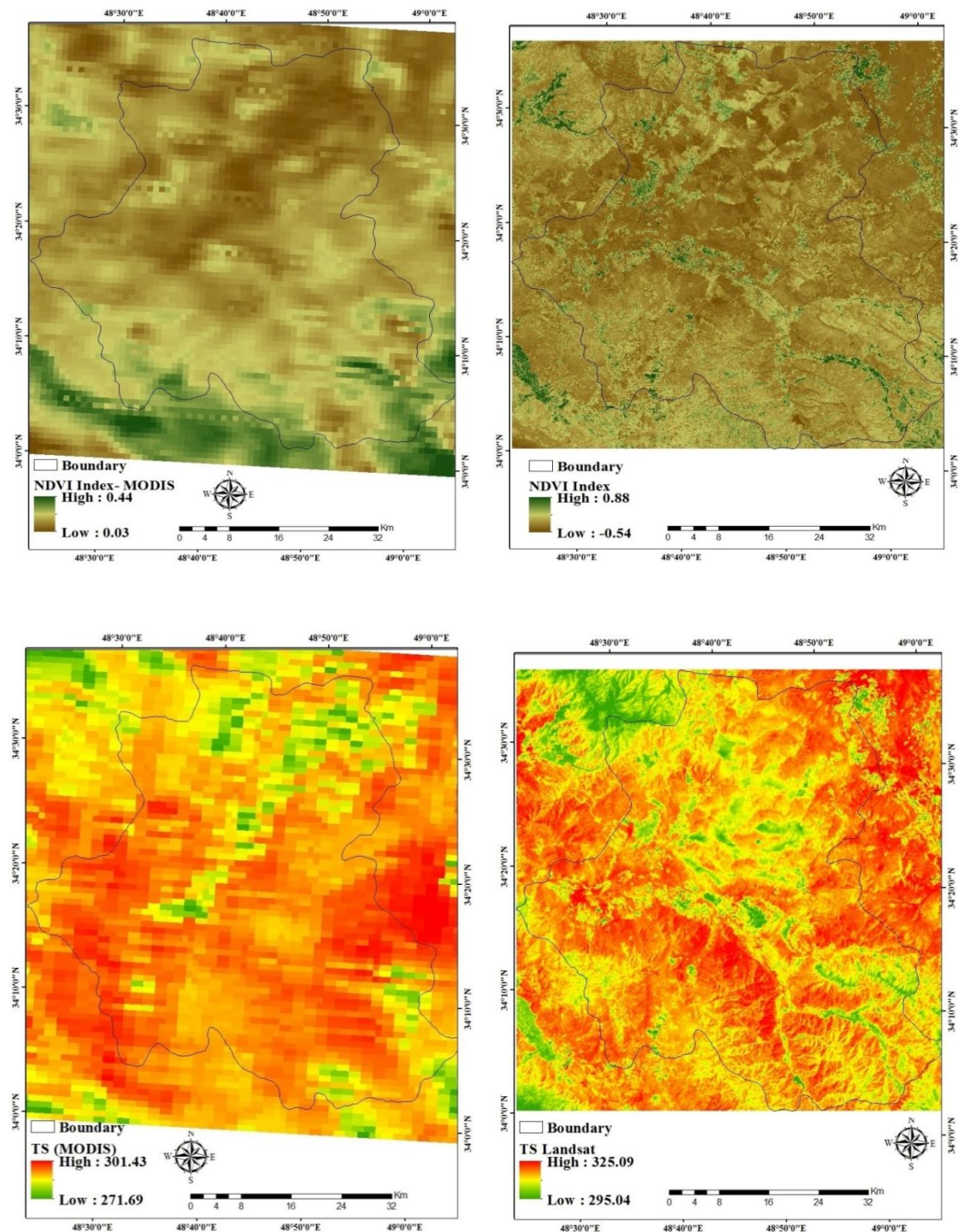
$$MBE = \frac{1}{n} \sum_{i=1}^n (Y_{est,i} - Y_{obs,i}) \quad (\text{Eq. 10})$$

where Y_{est} is the projected amount, Y_{obs} is the measurement amount and n is the number of data.

Results

The maps of surface temperature distribution, vegetation index and daily ET are shown in Figure 2. Surface temperature and vegetation index are two important incomes to SEBAL model. These two indices, which are also applied to select hot/cold pixels, have a strong inverse correlation in case of accessing sufficient nutrient (Ruhoff et al., 2012). As shown in Figure 2, daily ET distribution shows a wide range of values regarding heterogeneous lands. Also, results show that an area which has high

vegetation index (VI) and low temperature has more ET and vice versa. Based on the maps, there is an inverse correlation between surface temperature distribution and vegetation index.



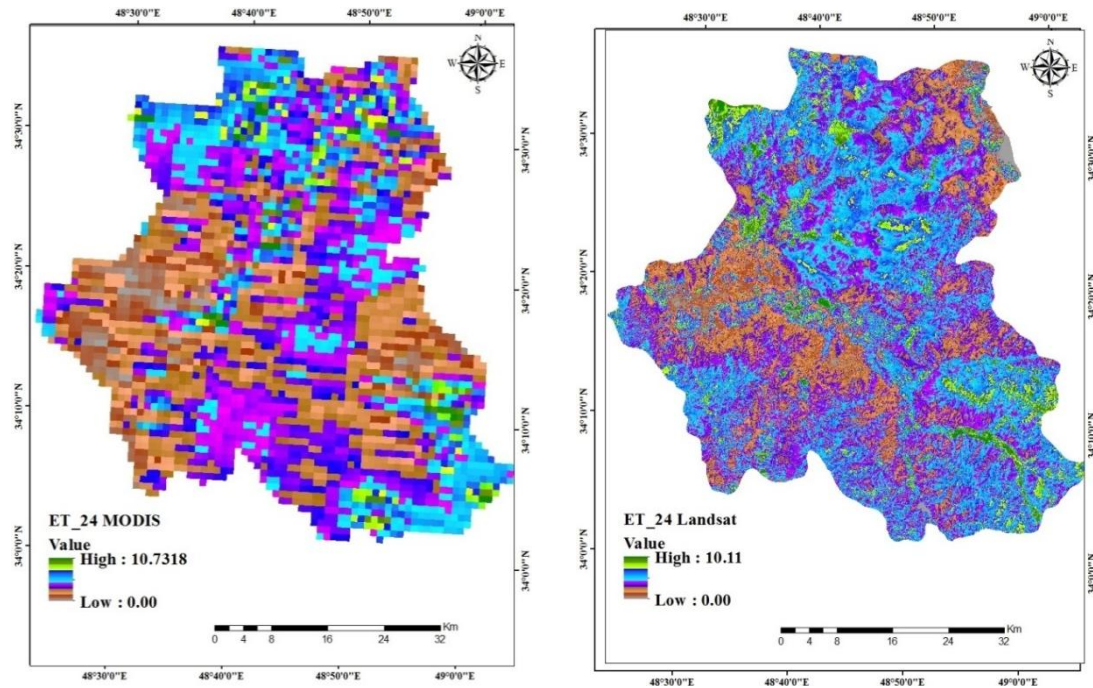


Figure 2. Top to bottom and left to right are: MODIS surface temperature, Landsat surface temperature, NDVI of MODIS, NDVI of Landsat, 24 hourly ET of MODIS, 24 hourly ET of Landsat respectively

Actual ET estimation

After calculating the net radiation flux (R_n), sensible radiation flux (H), soil heat flux (G) and latent heat flux of instantaneous evaporation, the amount of actual instantaneous ET (ET_{inst}) was estimated.

The crop type and culture date were determined in desirable parts by using agriculture calendar. Then, the observed ET value of cultivated plants was estimated by using FAO-Penman-Montieth method and compared with results of SEBAL. The results of statistical comparison of SEBAL and FAO-Penman-Montieth are presented in Table 2 and Figure 3.

Table 2. Statistical comparison of SEBAL with FAO-Penman-Montieth (daily and hourly)

Image	ET instant	ET ref instant	ET 24	ET ref 24
MODIS	0.7533	0.75	6.89	6.86
Landsat	0.7888	0.75	7.23	6.86

The ET amount measured by Landsat showed more differences with observed values. According to hourly ET measured through ETr software and calculated instantaneous values via satellite images showed MODIS accuracy is higher than Landsat with RMSE and MBE by 1.004 and 0.0033 respectively in comparison with Landsat with RMSE and ME by 1.05 and 2.88, respectively. But regarding images type and spatial resolution, it can be seen that accuracy of Landsat is much higher than MODIS image and it can interpolate the values of ET much better and clearer because

of its smaller pixels. Ogawa et al. (1999) investigated performance of MODIS sensor in ET estimation in Savannah, West Africa. In that study, SEBAL method, MODIS and ASTER images were used. Their results showed both sensors have good capability to estimate ET in large heterogeneous areas and according to their results the present study has logical results in comparison with observed method. Sun et al. (2011) used SEBAL algorithm and Landsat image to estimate actual ET in various land uses in Nansi Lake Basin, China. These researchers reported positive results, similar to the present study which has emphasized on SEBAL capability in Malayer city.

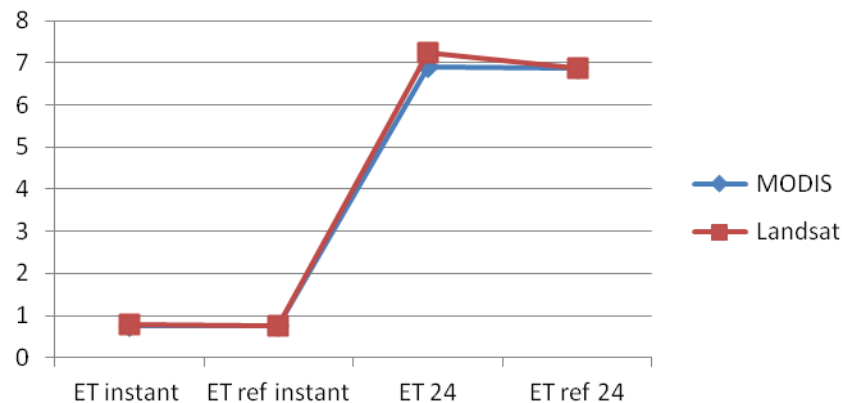


Figure 3. Plotting comparison of SEBAL with observed method (FAO-Penamn-Montieth)

According to assumption of SEBAL model based on *Equation 8*, daily ET was estimated. On the other hand, after correction of K_c based on proposed in FAO 56 leaflet for the study area and multiplied by observed ET of alfalfa which is obtained from meteorological data, daily actual ET values were estimated for desirable pixels. As shown in *Table 2*.

Conclusion

The greatest difference between SEBAL estimation and observed method is related to Landsat image. Based on daily ET obtained from ETr software, daily values that are calculated through satellite images and their statistical comparison, it is concluded that MODIS is more accurate than Landsat image and has less difference to observed ET. However, according to *Figure 2* which shows daily ET and high spatial resolution of Landsat, precision of this image is much higher than MODIS image and it can show ET clearer and more suitably. According to SEBAL estimations despite the error which caused deviation and due to lack of needed equipment and image errors, it can be said that data obtained from this algorithm are acceptable.

The aim of this study was to estimate ET of vegetation by MODIS and Landsat 8 images. For this purpose, SEBAL algorithm was used. Besides, Malayer city does not have any lysimeter station so FAO-Penman-Montieth's results were used for accuracy assessment. Results showed SEBAL algorithm can estimate parameters such as surface albedo, surface temperature and vegetation index as well. Daily and hourly actual ET values were estimated in the study area by using mentioned parameters. Comparison of results from SEBAL algorithm and observed method (FAO-Penman-Montieth) did not show significant difference in either hourly or daily cases. It means hourly ET can be

estimated with acceptable precision in comparison with observed ET in the study area. According to spatial resolution and free MODIS and Landsat images, it seems that the use of these images can be satisfactory and practical in order to estimate daily water requirement and plan for irrigation especially in wide lands.

The results of this study showed hourly estimations of ET through SEBAL algorithm have higher precision than daily estimations that is because of constant hourly ET_{ref} with daily ET_{ref} in model. Furthermore, comparison of estimating ET by two images which have different resolution made it clear that ET in MODIS image was estimated with higher accuracy for two anchor pixels (hot and cold pixels) than Landsat image. Nevertheless, according to observed ET, Landsat images had more accuracy due to higher spatial resolution and various heterogeneous land uses with low extent of study area.

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