

## INVERSE PROBLEMS SOLUTION IN THE HYDRAULIC CHARACTERIZATION OF UNSATURATED SOILS

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**Abstract.** Soil hydraulic characterization is fundamental to investigate water infiltration, redistribution and percolation in soils partially saturated. This work presents a mechanistic methodology of soils hydrodynamic characterization based on volumetric porosity, soil granulometric curve, a transient water flow event analysis in porous medium (vertical infiltration) and water volume drained by gravity effect. A numerical model based on one-dimensional Richards equation to describe vertical infiltration analysis is developed. The hydrodynamic characterization methodology for three types of soil is also applied.

**Keywords:** *granulometric curve, soil porosity, soil-water retention curve, hydraulic conductivity curve*

### Introduction

The mechanistic description of water transfer processes in unsaturated soils requires precise knowledge of the hydraulic characteristics of porous medium. Of utmost important are the characteristic curve relating soil hydraulic conductivity  $K$  with the volumetric water content  $\theta$ , and the soil-water retention curve relating volumetric water content  $\theta$  with the soil water pressure head  $\psi$ . Generally, in hydrology and particularly in agricultural irrigation studies, the mathematical representation of these hydraulic characteristics is essential to analyze water infiltration, drainage, percolation, redistribution in the soil with Richards equation (Silva et al., 2019).

The specialized literature contains different models to describe the hydraulic properties of the soil, which vary conceptually and in sophistication (Assouline and Or 2013; Too, et al., 2014). That set of models covers pedotransfer functions (Tóth et al., 2015; Bohne, et al., 2019; Tian et al., 2021) and mechanistic models based on the Poiseuille and Laplace laws. The first group of models is established without explicitly considering the physical bases of water movement in the soil and the second group helps represent in detail the basic mechanisms of the process from laws of physics.

The mechanistic models most used in field and laboratory studies are those proposed by Brooks and Corey (1964), van Genuchten (1980) and Braddock et al. (2001). The popularity of these models is due to their ability to fit water retention experimental data (Du, 2020; Rastgou, et al., 2020), and also due to the fact that they can be easily

combined with hydraulic conductivity models (e.g. Burdine, 1953; Mualem, 1976). However, some combinations of these models do not satisfy the fundamental infiltration properties (Fuentes et al., 1992), and the process to unify models is not closed. Therefore, research opportunities on the functional hydraulic properties and their behavior under different flow conditions remain open.

In hydrodynamic characteristics models, a series of parameters are involved that need to be estimated. Currently, there are different methodologies to determine them. These may vary in fundamental approach, complexity, cost, and precision. Different methods that exist for hydrodynamic characterization of unsaturated soils can be grouped into direct and inverse methods, and at the same time, laboratory or field. The latter method of hydraulic characterization of unsaturated soils is generally the most convenient; however, it is possible to achieve a good approximation of hydrodynamic properties in the lab by considering undisturbed soil samples taken in field.

Direct characterization methods consist on measuring infiltration rate, changes in moisture content and pressure potential in soil by using tensiometers and moisture sensors installed at different depths in soil profile while applying water on surface (Rezaie-Boroon et al., 2017; Smith and Kean, 2018; Le Roux and Jacobsz, 2021).

In recent years there have been great advances in electrical techniques to measure soil hydraulic properties. Among the most used devices are the TDR “Time domain reflectometer”, electromagnetic induction devices, and underground penetrating radars, which have ability to provide measurements at great depths and at a large scale (Xiao et al., 2018; He et al., 2021); In addition, there are electromagnetic techniques such as optic fiber and electrochemical sensors that are mainly based on electrical conductivity measurements or dielectric constant measurements to determine moisture content.

In other ways there have been notable advances in sensors development to determine water pressure potential in soils and hydraulic conductivity, such as: osmotic tensiometers, heat dissipation units, electro-optical methods, ultracentrifuge methods (Joseph et al., 2022). However, direct measurement equipment has limitations such as requiring detailed calibration processes and high costs for most practical applications.

Inverse methods have become an excellent alternative to limitations of direct methods, with the basic premise of deducing the hydrodynamic properties of the soil from data that are more likely to be measured or more available. By definition, the solution to an inverse problem involves the determination of unknown causes based on observations of their effects, which contrasts with direct problem, whose solution requires finding the effects based on a description of their causes (Hopmans and Simunek, 1999).

A flexible way to solve an inverse problem is the use of parameter estimation techniques. In this method, the problem is presented by means of a differential equation subject to boundary conditions. By means of an appropriate numerical or analytical method, the equation is solved. The constitutive system functions are parameterized a priori, and coefficients are determined using an optimization algorithm applied to objective function.

Soil hydrodynamic properties can be estimated using inverse methods if an adequate representation of the studied system is made, since it is evident that any conceptual error in physical-mathematical model affects parameters estimation.

Infiltration and drainage experiments *in situ* or in laboratory can be carried out according to a well-established and theoretically supported methodology. In order to

obtain quality information that allows studying and identifying soil-water retention parameters and unsaturated hydraulic conductivity of soils. In same sense, development of efficient numerical schemes based on nonlinear partial differential equations that describe the analyzed physical system is essential to evolve towards a higher stage of knowledge about hydrodynamic characteristics of partially saturated soils.

The aim of this work is to present a mechanistically based methodology for the hydrodynamic characterization of partially saturated soils. The methodology is based on porosity analysis and soil granulometric curve with the laws of Laplace, Stokes, and concepts of fractal geometry, as well as the analysis of inverse infiltration problems and drainage with Richards equation. The methodology was applied to determine hydraulic parameters of soil samples with contrasting hydraulic properties.

## Materials and methods

Analytical representations of hydraulic properties of the unsaturated soils (soil-water retention curve and hydraulic conductivity curve), contain parameters to be determined, these can be grouped into *shape parameters* and *scale parameters*.

### *Shape parameters of hydraulic properties*

According to Fuentes et al. (2001), it is possible to determine of the shape parameters that are in the unsaturated soils hydraulic properties from soil porosity and soil granulometric curve. Having Laplace's law and Stokes' law as a foundation and considering soil as a fractal object (Mandelbrot, 1982) and accepting similarity between the degree of saturation  $S(R)$  and accumulated frequency  $F(D)$ , the following relationship is established between soil pores size ( $R$ ) and soil particles diameter ( $D$ ):

$$S(R) = F(D) \quad (\text{Eq.1})$$

$$(R/R_d) = (D/D_g)^{1+\kappa} \quad (\text{Eq.2})$$

where  $R_d$  is a characteristic parameter of pore size;  $D_g$  is a characteristic parameter of particle size. Exponent  $\kappa$  is estimated:

$$\kappa = \frac{2s-1}{2(1-s)} \quad (\text{Eq.3})$$

where  $s = D_f/E$ ,  $D_f$  is soil fractal dimension ( $D_f$ ) and  $E = 3$  is Euclidean dimension of physical space, defined implicitly as a function of the soil porosity  $\phi$ :

$$(1-\phi)^s + \phi^{2s} = 1 \quad (\text{Eq.4})$$

Transition from soil granulometric curve to soil-water retention curve  $\theta(\psi)$  is carried out with *Equations 1–4*, considering that  $\theta = \phi S(R)$  and  $\theta = \phi F(D)$ , where  $\theta$  is the soil-water content and  $\psi$  is the pressure head in the soil. The procedure is valid for any functional model that represents the hydraulic properties of unsaturated soils.

### **Analytical representations of the hydraulic properties**

The analysis of the water movement in unsaturated soils requires representing the hydraulic properties of the soil by means of models that relate the hydraulic conductivity and the soil water tension in the soil as functions of the volumetric water content.

Fuentes et al. (1992) have shown that the soil-water retention curve model of van Genuchten (1980) subject to the Burdine (1953) constraint, combined with the hydraulic conductivity model of Brooks and Corey (1964) satisfy the integral properties of infiltration and recommend that this combination can be used in theoretical studies as well as field and laboratory studies (Stewart et al., 2013; Silva et al., 2019).

Van Genuchten's (1980) model is:

$$\theta(\psi) = \theta_r + (\theta_s - \theta_r) \left[ 1 + \left( \frac{\psi}{\psi_d} \right)^n \right]^{-m} \quad m = 1 - \frac{2}{n} \quad (\text{Eq.5})$$

where  $\theta_s$  and  $\theta_r$  are the saturated and residual water contents [ $L^3L^{-3}$ ];  $\psi_d$  is a characteristic pressure value (scale parameter; L); m and n are dimensionless positive values (shape parameters).

Brooks and Corey's (1964) hydraulic conductivity curve is:

$$K(\theta) = K_s \left( \frac{\theta - \theta_r}{\theta_s - \theta_r} \right)^\eta \quad (\text{Eq.6})$$

where  $\eta$  is a shape parameter empirical and positive; and  $K_s$  is the saturated hydraulic conductivity (scale parameter;  $LT^{-1}$ ).

Shape parameters estimation that intervene in van Genuchten (Eq. 5) and Brooks and Corey (Eq. 6) models, m and  $\eta$ , is done from soil porosity ( $\phi$ ) and soil granulometric curve, and scale parameters ( $\psi_d$  and  $K_s$ ) from reproduction with the numerical solution of one-dimensional Richards' equation, of infiltrated depth measured in infiltration and drainage tests in soil columns.

According to the van Genuchten model (Eq. 5), the experimental granulometric curves of the soils should be fitted with the following distribution function:

$$F(D) = \left[ 1 + \left( D_g / D \right)^N \right]^{-M} \quad (\text{Eq.7})$$

According to relations (Eqs. 1, 2 and 3), transition from granulometric curve to retention curve for van Genuchten model (Eq. 5) allows to establish:

$$(MN/mn) \cong 1 + \kappa \quad (\text{Eq.8})$$

where  $\kappa$  estimated with Equation 3 and from Burdine restriction we have  $m = 1 - 2/n$ .

While shape parameter of hydraulic conductivity function can be estimated with the relationship deduced by Fuentes et al. (2001):

$$\eta = 2s(2/\lambda + 1) \quad (\text{Eq.9})$$

with  $\lambda = mn$ .

### ***Scale parameters of the hydraulic properties***

Soil hydrodynamic characterization can be completed by considering local or global infiltration experiments which allow obtaining information to identify the soil-water retention function scale parameters and of the unsaturated hydraulic conductivity. Point infiltration tests may consist of imposing a water head on soil surface and measuring the infiltrated depth evolution over time.

#### **Vertical infiltration**

Infiltration caused by a constant water head on surface of soil column can be described by one-dimensional Richards equation (1931):

$$C(\psi) \frac{\partial \psi}{\partial t} = \frac{\partial}{\partial z} \left[ K(\psi) \left( \frac{\partial \psi}{\partial z} - 1 \right) \right] \quad (\text{Eq.10})$$

where  $\psi$  is the pressure head into the soil, expressed as the height of an equivalent water column [L] (positive in saturated zones and negative in unsaturated zones);  $C(\psi) = d\theta/d\psi$  is the specific capacity of soil [L<sup>-1</sup>];  $K(\psi)$  is hydraulic conductivity [LT<sup>-1</sup>], in a saturated soil as a function of pressure potential;  $\theta$  is the water volume per unit volume of soil or water content; [L<sup>3</sup>L<sup>-3</sup>] is a function of  $\psi$ , known as the humidity characteristic curve or soil-water retention curve; gravitational potential is assigned to spatial coordinate “z” positively oriented down [L].

The boundary conditions to describe one-dimensional infiltration caused by a water head on soil surface are:

$$\psi = \psi_{ini}; 0 \leq z \leq P; t = 0 \quad (\text{Eq.11})$$

$$\psi = h_w; z = 0; t > 0 \quad (\text{Eq.12})$$

$$\frac{\partial(\psi - z)}{\partial z} = -1; z = P; t > 0 \quad (\text{Eq.13})$$

where  $\psi_{ini}$  is the initial distribution of water pressure potential in soil;  $h_w$  is the water depth on surface of soil column; and P is column depth.

### ***Numerical simulation model***

Numerical solution of the *Equation 10* subject to *Equations 11, 12* and *13* has been performed with the finite element method (Zhu, 2018). In this method the dependent variable  $\psi$  is approximated by a linear combination of the fundamental functions  $\phi_j$  defined by Kronecker’s delta function:

$$\psi(z, t) \cong \hat{\psi}(z, t) = \sum_{j=1}^n \varphi_j(z) \Psi_j(t) \quad (\text{Eq.14})$$

where  $\Psi_j(t)$  are time dependent coefficients and represent the approximate solution at specific points of a domain, “nodes” (n). Substituting the approximate solution  $\hat{\psi}(z, t)$  in *Equation 10* generates an error or residual ( $\varepsilon$ ) that can be written as:

$$\varepsilon = \hat{C} \frac{\partial \hat{\psi}}{\partial t} - \frac{\partial}{\partial z} \left[ \hat{K} \frac{\partial (\hat{\psi} - z)}{\partial z} \right] \quad (\text{Eq.15})$$

This residual is minimized by forming a weighted integral of  $\varepsilon$  over the solution domain (0,P) and requiring that the integral, called weighted residual, be zero:

$$\int_0^P \varepsilon v_i(z) dz = \int_0^P \left\{ \hat{C} \frac{\partial \hat{\psi}}{\partial t} - \frac{\partial}{\partial z} \left[ \hat{K} \frac{\partial (\hat{\psi} - z)}{\partial z} \right] \right\} v_i(z) dz = 0 \quad (\text{Eq.16})$$

where  $v_i(x)$ , with  $i = 1, \dots, n$ , are weight functions.

Integration by parts of *Equation 16* led to:

$$\int_0^P \hat{C} \frac{\partial \hat{\psi}}{\partial t} v_i dz + \int_0^P \hat{K} \left( \frac{\partial \hat{\psi}}{\partial z} - 1 \right) \frac{dv_i}{dz} dz = \hat{K} \frac{\partial (\hat{\psi} - z)}{\partial z} v_i \Big|_0^P \quad (\text{Eq.17})$$

With the substitution of finite element solution  $\hat{\psi}$  given by *Equation 14* in *Equation 17*, considering that weight functions are equal to the basic functions  $v = \varphi$  (Galerkin’s method) and assuming a linear variation of hydraulic properties in element ( $\hat{C} = \varphi_g C_g$  and  $\hat{K} = \varphi_g K_g$ ), the following system of ordinary differential equations was obtained:

$$[A] \frac{d\{\Psi\}}{dt} + [B]\{\Psi\} = \{F\} + \{G\} \quad (\text{Eq.18})$$

where A is a mass matrix, B is the rigidity matrix, F is the vector that contain values or flow ratios in the boundary ( $z = 0$  and  $z = P$ ) and G is the gravity vector. Using linear interpolation functions, coefficients of these matrices and vectors were calculated, resulting:

$$A_{ij} = \sum_{j=1}^n \int_0^P C_g \varphi_g \varphi_i \varphi_j dz = \delta_{ij} \sum_e C_j \frac{\Delta z}{2} \quad (\text{Eq.19})$$

$$B_{ij} = \sum_{j=1}^n \int_0^P K_g \varphi_g \frac{d\varphi_i}{dz} \frac{d\varphi_j}{dz} dz = \sum_e (-1)^{i+j} \frac{K}{\Delta z} \quad (\text{Eq.20})$$

$$F_i = \bar{K} \frac{\partial(\psi - z)}{\partial z} \varphi_i \Big|_0^p = \begin{cases} E(t) & ; \quad i = 1 \quad (z = 0) \\ q_d & ; \quad i = n \quad (z = P) \end{cases} \quad (\text{Eq.21})$$

$$G_i = \int_0^p K_g \varphi_g \frac{d\varphi_i}{dz} dz = \sum_e K \begin{bmatrix} 1 \\ -1 \end{bmatrix} \quad (\text{Eq.22})$$

where  $\varphi$  are the basic functions of concentrated mass system (Neuman, 1973);  $\delta_{ij}$  is Kronecker's delta;  $\bar{K}$  is hydraulic conductivity in element taken as arithmetic average of conductivities in the two nodes of the element "e" (as a consequence of the form adopted for base functions); E represents evaporation intensity on ground surface or rainfall intensity; and  $q_d$  is drained water flow.

Time derivative approximation in *Equation 18* was performed with following discretization scheme:

$$\left( \frac{d\{\Psi\}}{dt} \right)^{t+\omega\Delta t} = \frac{\{\Psi\}^{t+\Delta t} - \{\Psi\}^t}{\Delta t} \quad (\text{Eq.23})$$

$$\{\Psi\}^{t+\omega\Delta t} = \omega\{\Psi\}^{t+\Delta t} + (1-\omega)\{\Psi\}^t \quad (\text{Eq.24})$$

where  $\Delta t$  is time step and  $\omega$  is a time weighting coefficient ( $0 \leq \omega \leq 1$ ), taken as  $\omega = 1$  (implicit scheme). Substituting *Equations 23* and *24* in *Equation 18* and applying Picard's linearization method, is obtained:

$$\left[ \frac{A_{t+\omega\Delta t}^w}{\Delta t} + \omega B_{t+\omega\Delta t}^w \right] \{\Psi\}_{t+\omega\Delta t}^{w+1} = \left[ \frac{A_{t+\omega\Delta t}^w}{\Delta t} - (1-\omega)B_{t+\omega\Delta t}^w \right] \{\Psi\}_t + \{F\}_{t+\omega\Delta t}^w + \{G\}_{t+\omega\Delta t}^w \quad (\text{Eq.25})$$

where w indicates the iteration number in time interval. System (*Eq.25*) is solved using a preconditioned conjugate gradient iterative method (Noor and Peters, 1987) that allows to eliminate rounding errors associated with backward substitution procedure required in direct methods. The numerical scheme was coded in the C++ programming language.

### Soil columns and experimental tests

To develop the study, three disturbed soil samples with different hydraulic properties were obtained from an agricultural area in the state of Morelos, Mexico. The area is located in the Amacuzac River hydrological basin, between parallels 18° 20' and 19° 07' north latitude and between 98° 38' and 99° 31' west longitude. The samples collected with a manual auger were taken to the laboratory, where they were dried, organic matter was eliminated, each one was sieved independently. Granulometric curves were determined by applying Bouyoucos procedure for solid particles smaller than 74 microns, while particles distribution between 74 and 2000 microns was done applying sieving method. To identify them, each soil sample was assigned a typical name of the place where they were obtained. The textural classification was carried out

applying the USDA criteria, the soil called Cuaculan was classified as sand, Sta. Maria is loam and La Pintura is clay loam.

In the laboratory three acrylic columns with a length  $P = 75$  cm and a circular cross-section of diameter  $D_c = 15$  cm, with a porous plate covered by a filter placed in its lower part with the purpose of retaining of the soil, were manufactured. Each soil sample was placed inside the column in 10 cm thick layers, trying to keep the bulk density constant to generate homogeneous conditions. The initial water content ( $\theta_{ini}$ ) was determined in each column and an infiltration test was carried out, applying a constant water head on its surface ( $h_w$ ) and measuring the depth of the infiltrated water over time (*Fig. 1*). Once the soil columns were saturated, the surface water head was removed and the bottom of the column was covered until the soil water pressure in the soil stabilized. Finally, the surface of the column was covered with plastic to eliminate evaporation and the bottom of the column was cut open to measure the volume of water drained over time.



*Figure 1. Soil columns*

## Results and discussion

### *Case 1: Hydraulic characterization of the three soils*

To illustrate the methodology described, the estimation of the hydraulic parameters of three soils was carried out.

#### *Shape parameters*

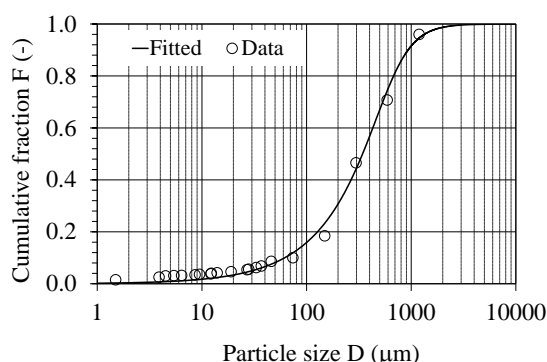
Soil porosity of each column ( $\phi$ ) is estimated with classic relation  $\phi = 1 - \rho_a / \rho_s$ , where  $\rho_a$  is dry soil volumetric density and  $\rho_s$  solid particles density ( $\rho_s = 2.65$  g/cm<sup>3</sup> of quartz particles). Introducing soil porosity values in *Equation 4* the function was solved using Newton-Raphson method (Burden et al., 2015), corresponding values of relative dimension  $s$  for each soil are obtained. Finally, according to Haverkamp et al. (2005) water volumetric content at saturation  $\theta_s$  can be assimilated to total volumetric

porosity of soil  $\theta_s = \phi$ , which implies that 100% of soil pores are filled with water; while volumetric residual water content can be assumed equal to zero  $\theta_r = 0$ , if it is accepted that soil water content in soil tends to zero when the absolute value of water pressure potential tends to infinity, that is  $\theta \rightarrow 0$ , when  $|\psi| \rightarrow \infty$ . Parameters calculated following the procedure described are presented in *Table 1*.

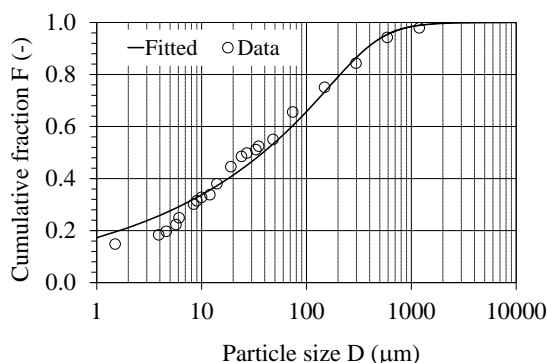
Experimental granulometric curves are fitted with *Equation 7*, using a nonlinear optimization algorithm and the coefficient of determination  $R^2$ . Results obtained for each soil sample are presented in *Figures 2, 3 and 4*.

**Table 1.** Physical and volumetric parameters of three soils.  $\rho_a$  is the bulk density;  $\phi$  is the soil porosity;  $s$  is the relative dimension;  $\theta_s$  is the saturated water content and  $\theta_r$  is the residual water content

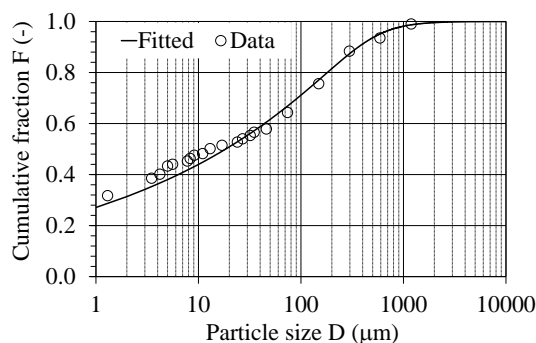
Soil	$\rho_a$ cm <sup>3</sup> /cm <sup>3</sup>	$\phi$ cm <sup>3</sup> /cm <sup>3</sup>	s	$\theta_s$ cm <sup>3</sup> /cm <sup>3</sup>	$\theta_r$ cm <sup>3</sup> /cm <sup>3</sup>
Cuaculan sand	1.62	0.390	0.671	0.390	0.000
Sta. María loam	1.02	0.614	0.719	0.614	0.000
La Pintura clay loam	1.33	0.509	0.696	0.509	0.000



**Figure 2.** Granulometric curve of Cuaculan sand and adjustment function  $F(D)$  with  $D_g = 675 \mu\text{m}$  and  $M = 0.325$  ( $R^2 = 0.9933$ )



**Figure 3.** Granulometric curve of Sta. María loam and adjustment function  $F(D)$  with  $D_g = 415 \mu\text{m}$  and  $M = 0.127$  ( $R^2 = 0.9699$ )



**Figure 4.** Granulometric curve of La pintura clay loam and adjustment function  $F(D)$  with  $D_g = 500 \mu\text{m}$  and  $M = 0.095$  ( $R^2 = 0.9793$ )

The  $\kappa$  parameter was estimated using the Equation 3 and from Equation 8 and Burdine restriction ( $m = 1 - 2/n$ ) the parameters  $m$  and  $n$  of the soil-water retention curve were estimated. While form parameter of conductivity function can be estimated with the relationship Equation 9. Results obtained for each soil column are presented in Table 2.

**Table 2.** Shape parameters.  $\kappa$  is the exponent of Equation 3;  $M, N$ , are de exponent of Equation 7;  $m, n$ , are de exponent of the van genuchten model (Eq. 5);  $\eta$  is the exponent of the Brooks and Corey model (Eq. 6)

Soil	$\kappa$	$M$	$N$	$m$	$n$	$\eta$
Cuaculan sand	0.522	0.325	2.963	0.240	2.633	5.586
Sta. Maria loam	0.781	0.127	2.291	0.076	2.164	19.080
La Pintura clay loam	0.646	0.095	2.210	0.060	2.128	23.218

Scale parameters estimation

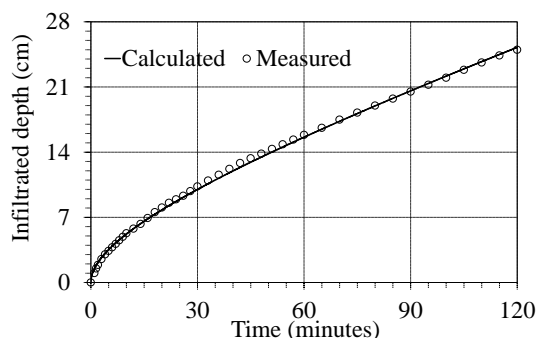
Hydraulic characteristics of scale parameters ( $\psi_d$  and  $K_s$ ) are estimated by reproducing, with the developed simulation model, the evolution of the measured infiltrated water depth in experimental tests over time. Infiltration tests characteristics are presented in Table 3.

**Table 3.** Initial condition and upper boundary condition in infiltration tests

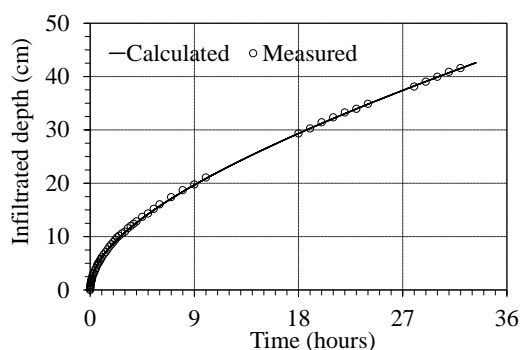
Data	Cuaculan sand	Sta. Maria loam	La Pintura clay loam
Hydraulic head on surface column $h_w$ (cm)	3.0	10.0	6.5
Initial water volumetric content $\theta_{ini}$ ( $\text{cm}^3/\text{cm}^3$ )	0.008	0.013	0.015

To reproduce infiltration tests, numerical solution (Eq. 25) is used. The solution domain is discretized with a uniform finite element mesh of 751 nodes distributed in 750 elements ( $\Delta z = 0.1\text{cm}$ ). Initial time step chosen was that it increases during simulation by 5% up to a maximum value of 60 s if the number of iterations required to solve system (20) in the time interval is less than 5.

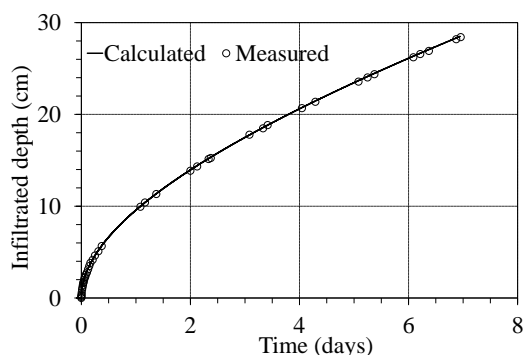
Numerical model is used with estimated values  $m$ ,  $\eta$ ,  $\theta_s$  and  $\theta_r$ , values of  $\psi_d$  and  $K_s$  were calibrated in order to reproduce experimental observations; results obtained are presented in *Figures 5, 6 and 7*.



**Figure 5.** Comparison of measured water infiltrated depth and calculated water infiltrated depth with:  $\psi_d = -19.5$  cm and  $K_s = 7.6$  cm/h ;  $R^2 = 0.9979$  (Cuaculan sand)



**Figure 6.** Comparison of measured water infiltrated depth and calculated water infiltrated depth with:  $\psi_d = -45.5$  cm and  $K_s = 0.51$  cm/h ;  $R^2 = 0.9991$  (Sta. María loam)



**Figure 7.** Comparison of measured water infiltrated depth and calculated water infiltrated depth with:  $\psi_d = -51.0$  cm and  $K_s = 0.06$  cm/h ;  $R^2 = 0.9993$  (La Pintura clay loam)

In order to close the soil's hydrodynamic characterization, the capillary hysteresis phenomenon must be considered (Berlotti and Mayergoys, 2006), which causes that,

retention curves corresponding to drainage and infiltration to be different. It occurs due to differences present in processes of emptying or filling pores of soil. There are several models in the literature to predict the retention curve for drainage (drying curve), there are empirical models (Chen, 2020; Capparelli and Spolverino, 2020) and also theoretical models that use the water retention curve for infiltration (Kargas et al., 2021) and others that directly consider drainage tests to derive this curve (Shein and Mady, 2018). However, the curve for drainage can be directly determined from the total water depth that drains initially saturated soil column with zero evaporation on its surface ( $\ell_{d\max}$ ).

When time of drainage  $t \rightarrow \infty$ , Darcy's flow tends to zero throughout the soil column, pressure distribution tends to hydrostatic equilibrium conditions:

$$\psi(z, \infty) = z - P \quad (\text{Eq.26})$$

Consequently, maximum drained infiltrated depth is provided by:

$$\ell_{d\max} = \int_0^P [\theta_s - \theta(z, \infty)] dz \quad (\text{Eq.27})$$

The use of *Equation 5*, considering *Equation 26*, in *Equation 27* allows to obtain:

$$\ell_{d\max} = (\theta_s - \theta_r) \left[ P - |\psi_d| \int_0^{P/|\psi_d|} (1 + \psi_*^n)^{-m} d\psi_* \right] \quad (\text{Eq.28})$$

As shape parameters of soil hydraulic properties  $m$ ,  $n$ , and  $\eta$ , and volumetric water contents  $\theta_s$  and  $\theta_r$  have been estimated independently of transient water flow events in soil column, it can be considered that these are the same for infiltration and drainage. Additionally, capillary hysteresis in hydraulic conductivity curve is negligible in various types of soil and its effect is bigger in soil-water retention curve (Mualem, 1976), therefore hydraulic conductivity at saturation estimated for infiltration can be assumed equal for drainage processes, that is  $K_{s(\text{inf})} = K_{s(\text{dren})}$ . Consequently, the effect of capillary hysteresis can be absorbed by the scale parameter  $\psi_d$ . With previously obtained parameter values and considering the maximum depth of water drained measured in each soil column ( $\ell_{d\max}$ ), *Equation 25* was solved to determine  $\psi_d$  values for drying. Values are: Cuaculan sand  $\psi_{d(\text{dry})} = -32.80$  cm, Sta. Maria loam  $\psi_{d(\text{dry})} = -46.00$  cm and La Pintura clay loam  $\psi_{d(\text{dry})} = -63.00$  cm.

### ***Case 2: Comparison with another characterization method***

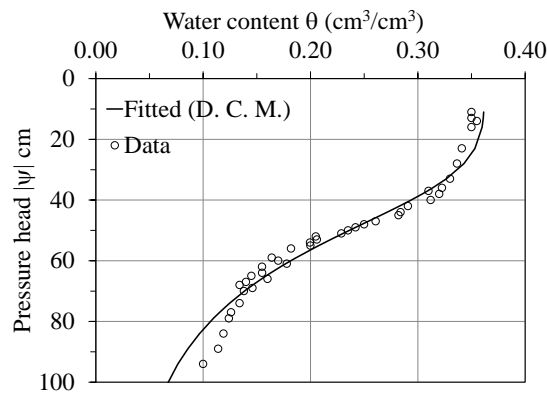
To show the goodness of the proposed characterization methodology (P.C.M.), we analyze the shape parameters of the soil-water retention curve and compare them with those obtained with the direct characterization method established in the literature (D.C.M.). The direct method consists of collecting a soil sample and determining the experimental soil-water retention curve with devices and/or sensors and adjusting it with a functional model, in this case *Equation 5* is used.

In the comparison, widely used soils in the literature in validation processes were used (Stewart et al., 2013; Kargas et al., 2022). Two soils with contrasting hydraulic properties, “Grenoble 1 sand” and “Yolo light clay” were selected and their data correspond to the values reported in the UNSODA 2.0 (Nemes et al., 1999) and presented in *Table 4*.

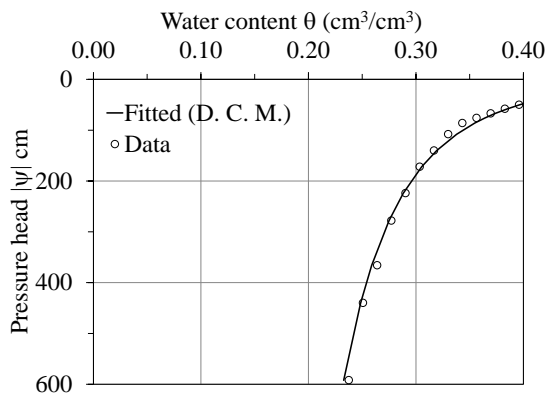
**Table 4.** Physical and volumetric parameters of two soils.  $\rho_a$  is the bulk density;  $\phi$  is the soil porosity;  $\theta_s$  is the saturated water content;  $\theta_r$  is the residual water content

Soils	$\rho_a$ cm <sup>3</sup> /cm <sup>3</sup>	$\phi$ cm <sup>3</sup> /cm <sup>3</sup>	$\theta_s$ cm <sup>3</sup> /cm <sup>3</sup>	$\theta_r$ cm <sup>3</sup> /cm <sup>3</sup>
Grenoble 1 sand	1.510	0.430	0.362	0.000
Yolo light clay	1.319	0.502	0.495	0.000

Applying the direct estimation method (D.C.M.), the soil-water retention data reported in the database UNSODA 2.0 was adjusted with *Equation 5*, the results are presented in *Figures 8* and *9*.

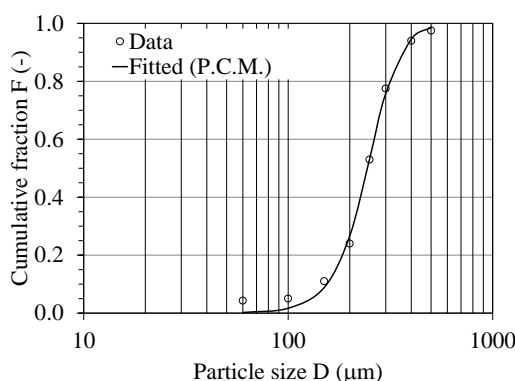


**Figure 8.** Soil-water retention curve of Grenoble 1 sand and adjustment function Equation 5 with  $\psi_d = -47.5\text{cm}$  and  $m = 0.528$  ( $R^2 = 0.9749$ )

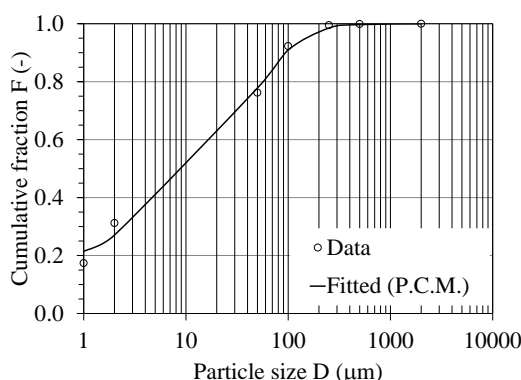


**Figure 9.** Soil-water retention curve of Yolo light clay and adjustment function Equation 5 with  $\psi_d = -19.2\text{cm}$  and  $m = 0.099$  ( $R^2 = 0.9947$ )

The experimental granulometric curves were fitted with *Equation 7* and the results are presented in *Figures 10* and *11*.



**Figure 10.** Granulometric curve of Grenoble 1 sand and adjustment function *Equation 7* with  $D_g = 268.6 \mu\text{m}$  and  $M = 0.674$  ( $R^2 = 0.9977$ )



**Figure 11.** Granulometric curve of Yolo light clay and adjustment function *Equation 7* with  $D_g = 97.1 \mu\text{m}$  and  $M = 0.144$  ( $R^2 = 0.9948$ )

Applying the *Equations 3, 4* and *8* the shape parameters of the corresponding retention curves were estimated (P.C.M.). *Table 5* shows the values of the parameters obtained with both characterization procedures, the results are acceptably close, with the advantage that the proposed method is easy to apply and does not require complex and expensive sensors or devices. The differences between the obtained values can be absorbed by the scale parameters of the soil hydraulic curves when they are determined in the modeling of the infiltration test that is required to complete the characterization procedure.

**Table 5.** Shape parameters (*m* and *n*) of the van Genuchten retention curve (*Eq. 5*) obtained with the direct characterization method (D.C.M.) and with the proposed characterization method (P.C.M.)

Soil	D.C.M.	D.C.M	P.C.M.	P.C.M.
	<i>m</i>	<i>n</i>	<i>m</i>	<i>n</i>
Grenoble 1 sand	0.528	4.235	0.570	4.649
Yolo light clay	0.099	2.220	0.093	2.206

## Conclusions

A simple and precise methodology for soil hydraulic characterization based on laws of Laplace, Poiseuille, Stokes, fractal geometry, and a numerical solution of the one-dimensional Richards equation has been presented. This methodology has been applied to hydrodynamic characterization of disturbed soil samples and laboratory conditions considering a combination of moisture retention and hydraulic conductivity curves that satisfy the integral properties of infiltration.

This methodology can be applied to characterize homogeneous soils through infiltration tests carried out with the double cylinder infiltrometer method. In this method, one-dimensional infiltration is induced in the area of influence of the inner cylinder. The methodology can also be applied to other analytical representations of soil hydraulic properties, deriving the calculation relationships of the shape parameters of the soil-water retention curve and hydraulic conductivity curve considering *Equations 1, 2, 3 and 4*.

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