

## A NOTE ON FEFFERMAN–STEIN TYPE CHARACTERIZATIONS FOR CERTAIN SPACES OF ANALYTIC FUNCTIONS ON THE UNIT DISC

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**ABSTRACT.** We obtain new characterizations of Bergman and Bloch spaces on the unit disc involving equivalent (quasi)-norms on these spaces. Our results are in the spirit of estimates obtained by Fefferman and Stein for Hardy spaces in  $\mathbb{R}^n$ .

### 1. INTRODUCTION

We denote by  $H(\Omega)$  the space of all analytic functions in a domain  $\Omega \subset \mathbb{C}$ ,  $H^p = H^p(\mathbb{D})$  denotes the classical Hardy space on the unit disc  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  and  $A^p = A^p(\mathbb{D})$  denotes the Bergman space on  $\mathbb{D}$ , see [3] and [6]. We set  $A_0^p = \{f \in A^p : f(0) = 0\}$ . Also,  $\Gamma_\alpha(\xi)$  denotes the Stolz region at  $\xi \in \mathbb{T} = \{\xi \in \mathbb{C} : |\xi| = 1\}$  of aperture  $\alpha > 1$ . For  $t > 0$  and an analytic function  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  in the unit disc the fractional derivative of  $f$  of order  $t$  is defined by  $D^t f(z) = \sum_{n=0}^{\infty} (n+1)^t a_n z^n$ , it is also analytic in  $\mathbb{D}$ . Area measure on  $\mathbb{D}$  is denoted by  $dm$ . The Bloch space  $\mathcal{B}$ , defined by

$$\mathcal{B} = \left\{ f \in H(\mathbb{D}) : \|f\|_{\mathcal{B}} = \sup_{z \in \mathbb{D}} |f'(z)|(1 - |z|) < \infty \right\}$$

is closely related to Carleson measures and corresponds to the endpoint case  $p = 1$  of  $Q_p$  classes ( $0 < p \leq 1$ ), see [10]. Related spaces  $\mathcal{B}_p$ ,  $1 < p < \infty$ , are defined by

$$\mathcal{B}_p(\mathbb{D}) = \left\{ f \in H(\mathbb{D}) : \int_{\mathbb{D}} |f'(z)|^p (1 - |z|)^{p-2} dm(z) = \|f\|_{\mathcal{B}_p}^p < \infty \right\},$$

note that  $\|f\|_{\mathcal{B}}$  and  $\|f\|_{\mathcal{B}_p}$  are not true norms, but  $|f(0)| + \|f\|_{\mathcal{B}}$  and  $|f(0)| + \|f\|_{\mathcal{B}_p}$  are norms which make respective spaces into Banach spaces.

The following result is proved by E. G. Kwon in [7]:

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**Theorem 1** (see [7]). *If  $0 < p < \infty$  and  $0 \leq \beta < p+2$ , then  $f \in H(\mathbb{D})$  belongs to  $\mathcal{B}$  if and only if it satisfies the following condition:*

$$(1) \quad \sup_{a \in \mathbb{D}} \iint_{\mathbb{D} \times \mathbb{D}} \frac{|f(z) - f(w)|^{p-\beta}}{|1 - \bar{w}z|^4} |f'(z)|^\beta (1 - |z|)^\beta \frac{(1 - |w|)^2 (1 - |a|)^2}{|1 - \bar{w}a|^4} dm(z) dm(w) < \infty,$$

*in fact the above expression is equivalent to  $\|f\|_{\mathcal{B}}^p$ .*

*Similarly, if  $1 < p < \infty$  and  $0 \leq \beta < p+2$ , then a function  $f \in H(\mathbb{D})$  belongs to  $\mathcal{B}_p$  if and only if*

$$(2) \quad \int_{\mathbb{D}} \int_{\mathbb{D}} \frac{|f(w) - f(z)|^{p-\beta}}{|1 - \bar{w}z|^4} |f'(z)|^\beta (1 - |z|)^\beta dm(z) dm(w) < \infty,$$

*moreover, the above expression is equivalent to  $\|f\|_{\mathcal{B}_p}^p$ .*

We relate these estimates to the so called Fefferman–Stein type characterizations. By Fefferman–Stein characterizations we mean the following relations:

$$(3) \quad \|F\|_X \asymp \inf_{\omega \in S} \|\Phi(F, \omega)\|_Y,$$

where  $X$  and  $Y$  are (quasi)-normed subspaces of  $H(\mathbb{D})$ ,  $S = S_F$  is a certain class of measurable functions and  $\Phi$  is a nonanalytic function of two variables. This idea was used to determine the predual of  $Q_p$  classes,  $0 < p \leq 1$ , see [11, 12]. In certain cases the infimum in (3) is attained, see [2], especially section 5. Using ideas from [4] and [1] the authors there extended and used such characterizations for certain Hardy classes in  $\mathbb{R}^n$ . Later one of the authors provided a similar Fefferman–Stein type characterization for the analytic Hardy spaces, this is the second part of the following theorem, the first part is a classical result of N. Lusin.

**Theorem 2** (see [8, 9]). *Let  $0 < p, t < \infty$ . Then, for  $f \in H(\mathbb{D})$  we have*

$$(4) \quad \|f\|_{H^p}^p \asymp \int_{\mathbb{T}} \left( \int_{\Gamma_\alpha(\xi)} |D^t f|^2 (1 - |z|)^{2t-2} dm(z) \right)^{p/2} d\xi.$$

*Next, let  $s > 0$  and  $0 < p < 2$ . Then, for  $f \in H(\mathbb{D})$ , we have*

$$(5) \quad \int_{\mathbb{T}} \left( \int_{\Gamma_\alpha(\xi)} |f'(z)|^2 dm(z) \right)^{p/2} d\xi \asymp \inf_{\omega \in S_1} \left( \int_{\mathbb{D}} |f'(z)|^s (1 - |z|)^{s-1} \frac{dm(z)}{\omega(z)} \right)^{p/2},$$

*where*

$$S_1 = \left\{ \omega \geq 0 : \left\| \sup_{\Gamma_\alpha(\xi)} \omega(z) (1 - |z|)^{2-s} |f'(z)|^{2-s} \right\|_{L^{\frac{p}{2-p}}(\mathbb{T})} < 1 \right\}.$$

Here we show that similar results are true for Bloch and Bergman spaces  $A_0^p$  in the unit disc. An interesting problem would be to obtain similar results for  $Q_p$  or other BMOA-type spaces in the unit disc.

## 2. MAIN RESULTS

In this section we state and prove the main results of this paper. They are analogous to the previously obtained results on Fefferman–Stein characterizations of Hardy spaces in  $\mathbb{R}^n$  and our proofs heavily rely on the mentioned results of E. G. Kwon.

**Theorem 3.** *Let  $1 < \alpha < 2$ ,  $1/\alpha + 1/\alpha' = 1$  and  $p \geq \alpha'$ . Then for  $F \in H(\mathbb{D})$  with  $F(0) = 0$  we have*

$$\|F\|_{A_0^p}^p \asymp \inf_{\omega \in S_2} \left( \int_{\mathbb{D}} |F'(z)|^\alpha \omega^\alpha(z) dm(z) \right)^{1/\alpha},$$

where

$$S_2 = \left\{ \omega \geq 0 : \int_{\mathbb{D}} |F'(z)|^{(p-1)\alpha'} \omega^{-\alpha'}(z) (1-|z|)^{p\alpha'} dm(z) \leq 1 \right\}.$$

*Proof.* Here we use the following result from [10], Chapter 2: If  $F \in H(\mathbb{D})$  and  $F(0) = 0$ , then

$$(6) \quad \int_{\mathbb{D}} |F(z)|^p dm(z) \asymp \int_{\mathbb{D}} |F(z)|^{p-\beta} |F'(z)|^\beta (1-|z|)^\beta dm(z),$$

where  $0 < p < \infty$ ,  $0 \leq \beta < p+2$ . Taking  $\beta = p$  and applying Hölder inequality we obtain

$$\begin{aligned} \|F\|_{A_0^p}^p &\leq \left( \int_{\mathbb{D}} |F'(z)|^\alpha \omega^\alpha(z) dm(z) \right)^{1/\alpha} \times \\ &\quad \times \left( \int_{\mathbb{D}} |F'(z)|^{(p-1)\alpha'} \omega^{-\alpha'}(z) (1-|z|)^{p\alpha'} dm(z) \right)^{1/\alpha'} \end{aligned}$$

and this gives one estimate stated in Theorem 3. It is of some interest to note that this estimate is true for all  $1 < \alpha < \infty$ . Now we prove the reverse estimate by choosing a special admissible test function in  $S_2$ . We can assume  $\|F\|_{A_0^p} = 1$  and set

$$\tilde{\omega}(z) = \frac{|F'(z)|^{p/\alpha} (1-|z|)^{1+p/\alpha}}{|F(z)|}, \quad z \in \mathbb{D}.$$

A straightforward calculation shows that

$$\int_{\mathbb{D}} |F'(z)|^{(p-1)\alpha'} (1-|z|)^{p\alpha'} \tilde{\omega}^{-\alpha'}(z) dm(z) = \int_{\mathbb{D}} |F'(z)|^{p-\alpha'} (1-|z|)^{p-\alpha'} |F(z)|^{\alpha'} dm(z).$$

Now (6), with  $\beta = p - \alpha'$ , tells us that the last expression is comparable to  $\|F\|_{A_0^p}^p$  and therefore bounded by  $C = C_{p,\alpha} > 0$ . Hence  $\omega = C^{1/\alpha'} \tilde{\omega} \in S_2$  and

we have

$$\begin{aligned} \int_{\mathbb{D}} |F'(z)|^\alpha \omega^\alpha(z) dm(z) &= C \int_{\mathbb{D}} |F'(z)|^\alpha \tilde{\omega}^\alpha(z) dm(z) \\ &= C \int_{\mathbb{D}} |F'(z)|^{p+\alpha} |F(z)|^{-\alpha} (1-|z|)^{p+\alpha} dm(z). \end{aligned}$$

The last integral is, by (6) with  $\beta = p + \alpha < p + 2$ , bounded from above by a constant depending only on  $p$  and  $\alpha$  and this ends the proof of Theorem 3.  $\square$

To simplify formulation of our next theorem we introduce, for  $a \in \mathbb{D}$ ,

$$d\mu_a(z, w) = \frac{(1-|w|)^2(1-|a|)^2 dm(z) dm(w)}{|1-\bar{z}w|^4 |1-\bar{w}a|^4}.$$

**Theorem 4.** *Let  $1 < \alpha < 2$ ,  $1/\alpha + 1/\alpha' = 1$  and  $p \geq \alpha'$ . Then we have, for  $F \in H(\mathbb{D})$  with  $F(0) = 0$*

$$(7) \quad \|F\|_{\mathcal{B}} \asymp \inf_{\omega \in S_3} \sup_{a \in \mathbb{D}} \left( \int_{\mathbb{D}} \int_{\mathbb{D}} \omega^\alpha(z, w) |F'(z)|^\alpha d\mu_a(z, w) \right)^{1/\alpha},$$

where

$$(8) \quad S_3 = \left\{ \omega \geq 0 : \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha'(p-1)} \omega^{-\alpha'}(z, w) (1-|z|)^{p\alpha'} d\mu_a(z, w) \leq 1 \right\}.$$

*Proof.* Let  $F \in \mathcal{B}$ , setting  $\beta = p$  in (1) we obtain for arbitrary  $\omega \in S_3$ :

$$\begin{aligned} \|F\|_{\mathcal{B}} &\leq C \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} \frac{|F'(z)|^p (1-|z|)^p}{|1-\bar{w}z|^4} \frac{(1-|w|)^2 (1-|a|)^2}{|1-\bar{w}a|^4} dm(z) dm(w) \\ &= C \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)| \omega(z, w) |F'(z)|^{p-1} \omega^{-1}(z, w) (1-|z|)^p d\mu_a(z, w) \\ &\leq C \sup_{a \in \mathbb{D}} \left( \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^\alpha \omega^\alpha(z, w) d\mu_a(z, w) \right)^{1/\alpha} \times \\ &\quad \times \sup_{a \in \mathbb{D}} \left( \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha'(p-1)} \omega^{-\alpha'}(z, w) (1-|z|)^{p\alpha'} d\mu_a(z, w) \right)^{1/\alpha'} \\ &\leq C \sup_{a \in \mathbb{D}} \left( \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^\alpha \omega^\alpha(z, w) d\mu_a(z, w) \right)^{1/\alpha}. \end{aligned}$$

Taking infimum over all  $\omega \in S_3$  one obtains an estimate of  $\|F\|_{\mathcal{B}}$  in terms of the right hand side in (7). Now we turn to the reverse estimate, we can assume  $\|F\|_{\mathcal{B}} = 1$ . Taking

$$(9) \quad \tilde{\omega}(z, w) = \frac{|F'(z)|^{p/\alpha} (1-|z|)^{\frac{p+\alpha}{\alpha}}}{|f(z) - f(w)|}$$

one obtains by an easy calculation and relation (1) with  $\beta = p - \alpha'$

$$\begin{aligned} \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha'(p-1)} \omega^{-\alpha'}(z, w) (1 - |z|)^{p\alpha'} d\mu_a(z, w) = \\ = \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{p-\alpha'} |f(z) - f(w)|^{\alpha'} (1 - |z|)^{p-\alpha'} d\mu_a(z, w) \asymp \|F\|_{\mathcal{B}}^p. \end{aligned}$$

As in the proof of the previous theorem this means that  $\omega = C\tilde{\omega}$  is in  $S_3$ , where  $C = C_{p,\alpha} > 0$ . With this choice of  $\omega$  we have

$$\begin{aligned} \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha} \omega^{\alpha}(z, w) d\mu_a(z, w) = \\ = C^{\alpha} \sup_{a \in \mathbb{D}} \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{p+\alpha} (1 - |z|)^{p+\alpha} |f(z) - f(w)|^{-\alpha} d\mu_a(z, w) \\ \asymp \|F\|_{\mathcal{B}}^p = 1, \end{aligned}$$

where we used (1) with  $\beta = p + \alpha$ . This ends the proof of Theorem 4.  $\square$

This theorem has a counterpart for  $\mathcal{B}_p$  spaces. It is convenient to introduce a measure  $d\mu(z, w) = |1 - \bar{w}z|^{-4} dm(z) dm(w)$ .

**Theorem 5.** *Let  $1 < p < \infty$ ,  $1 < \alpha < 2$ ,  $1/\alpha + 1/\alpha' = 1$  and  $p \geq \alpha'$ . Then we have, for  $F \in H(\mathbb{D})$  with  $F(0) = 0$ :*

$$(10) \quad \|F\|_{\mathcal{B}_p} \asymp \inf_{\omega \in S_4} \left( \int_{\mathbb{D}} \int_{\mathbb{D}} \omega^{\alpha}(z, w) |F'(z)|^{\alpha} d\mu(z, w) \right)^{1/\alpha},$$

where

$$S_4 = \left\{ \omega \geq 0 : \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha'(p-1)} \omega^{-\alpha'}(z, w) (1 - |z|)^{p\alpha'} d\mu(z, w) \leq 1 \right\}.$$

*Proof.* The proof of this theorem parallels the proof of the previous one. Namely we use (2) with  $\beta = p$  to obtain, for arbitrary  $\omega \in S_4$ ,

$$\begin{aligned} \|F\|_{\mathcal{B}_p}^p &\asymp \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)| \omega(z, w) |F'(z)|^{p-1} (1 - |z|)^p \omega^{-1}(z, w) d\mu(z, w) \\ &\leq \left( \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha} \omega^{\alpha}(z, w) d\mu(z, w) \right)^{1/\alpha} \times \\ &\quad \times \left( \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha'(p-1)} \omega^{-\alpha'}(z, w) (1 - |z|)^{p\alpha'} d\mu(z, w) \right)^{1/\alpha'} \\ &\leq \left( \int_{\mathbb{D}} \int_{\mathbb{D}} |F'(z)|^{\alpha} \omega^{\alpha}(z, w) d\mu(z, w) \right)^{1/\alpha}. \end{aligned}$$

In proving the reverse estimate one can use the same test function as in (9), the role of condition (1) is taken by condition (2). We leave details to the reader.  $\square$

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